

[Attorneys Listed on Signature Page]

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION**

VASUDEVAN SOFTWARE, INC.,

Case No. 3:11-06638-RS

Plaintiff,

**STIPULATED PROTECTIVE ORDER**

vs.

TIBCO SOFTWARE INC.,

Defendant.

**1. PURPOSES AND LIMITATIONS**

Disclosure and discovery activity in this action are likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles. The parties further acknowledge, as set forth in Section 14.3, below, that this Stipulated Protective Order does not entitle them to file confidential information under seal; Civil Local Rule 79-5 and General Order 62 set forth the procedures that must be followed and the standards that will be applied when a party seeks permission from the court to file material under seal.

**2. DEFINITIONS**

2.1 Challenging Party: a Party or Non-Party that challenges the designation of information or items under this Order.

2.2 "CONFIDENTIAL" Information or Items: information (regardless of how it is generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of

1 Civil Procedure 26(c).

2 2.3 Counsel (without qualifier): Outside Counsel of Record (as well as their support  
3 staff).

4 2.4 Designating Party: a Party or Non-Party that designates information or items that it  
5 produces in disclosures or in responses to discovery as “CONFIDENTIAL,” “HIGHLY  
6 CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE  
7 CODE.”

8 2.5 Disclosure or Discovery Material: all items or information, regardless of the  
9 medium or manner in which it is generated, stored, or maintained (including, among other things,  
10 testimony, transcripts, and tangible things), that are produced or generated in disclosures or  
11 responses to discovery in this matter.

12 2.6 Expert: a person with specialized knowledge or experience in a matter pertinent to  
13 the litigation who (1) has been retained by a Party or its counsel to serve as an expert witness or as  
14 a consultant in this action, (2) is not a past or current employee of a Party or of a Party’s  
15 competitor, and (3) at the time of retention, is not anticipated to become an employee of a Party or  
16 of a Party’s competitor.

17 2.7 “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” Information or  
18 Items: extremely confidential, proprietary, or sensitive “Confidential Information or Items,” where  
19 the Producing Party reasonably believes that disclosure of which to another Party or Non-Party  
20 would create a substantial risk of economic harm or significant competitive disadvantage to the  
21 Producing Party.

22 2.8 “HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items: extremely  
23 sensitive “Confidential Information or Items” representing computer code and associated  
24 comments and revision histories, formulas, engineering specifications, or schematics that define or  
25 otherwise describe in detail the algorithms or structure of software or hardware designs, where the  
26 Producing Party reasonably believes that disclosure of which to another Party or Non-Party would  
27 create a substantial risk of economic harm or significant competitive disadvantage to the Producing  
28 Party.

1           2.9    House Counsel: attorneys who are employees of a party to this action. House  
2 Counsel does not include Outside Counsel of Record or any other outside counsel.

3           2.10   Non-Party: any natural person, partnership, corporation, association, or other legal  
4 entity not named as a Party to this action.

5           2.11   Outside Counsel of Record: attorneys who are not employees of a party to this  
6 action but are retained to represent or advise a party to this action and have appeared in this action  
7 on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.

8           2.12   Party: any party to this action, including all of its officers, directors, employees,  
9 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

10          2.13   Producing Party: a Party or Non-Party that produces Disclosure or Discovery  
11 Material in this action.

12          2.14   Professional Vendors: persons or entities that provide litigation support services  
13 (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing,  
14 storing, or retrieving data in any form or medium) and their employees and subcontractors.

15          2.15   Protected Material: any Disclosure or Discovery Material that is designated as  
16 “CONFIDENTIAL,” or as “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or as  
17 “HIGHLY CONFIDENTIAL – SOURCE CODE.”

18          2.16   Receiving Party: a Party that receives Disclosure or Discovery Material from a  
19 Producing Party.

### 20    3.    SCOPE

21           The protections conferred by this Stipulation and Order cover not only Protected Material  
22 (as defined above), but also (1) any information copied or extracted from Protected Material; (2) all  
23 copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony,  
24 conversations, or presentations by Parties or their Counsel that might reveal Protected Material.  
25 However, the protections conferred by this Stipulation and Order do not cover the following  
26 information: (a) any information that is in the public domain at the time of disclosure to a  
27 Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a  
28 result of publication not involving a violation of this Order, including becoming part of the public

1 record through trial or otherwise; and (b) any information known to the Receiving Party prior to the  
2 disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the  
3 information lawfully and under no obligation of confidentiality to the Designating Party. Any use  
4 of Protected Material at trial shall be governed by a separate agreement or order.

5 4. DURATION

6 Even after final disposition of this litigation, the confidentiality obligations imposed by this  
7 Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order  
8 otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and  
9 defenses in this action, with or without prejudice; and (2) final judgment herein after the  
10 completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,  
11 including the time limits for filing any motions or applications for extension of time pursuant to  
12 applicable law.

13 5. DESIGNATING PROTECTED MATERIAL

14 5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party or  
15 Non-Party that designates information or items for protection under this Order must take care to  
16 limit any such designation to specific material that qualifies under the appropriate standards. To the  
17 extent it is practical to do so, the Designating Party must designate for protection only those parts  
18 of material, documents, items, or oral or written communications that qualify – so that other  
19 portions of the material, documents, items, or communications for which protection is not  
20 warranted are not swept unjustifiably within the ambit of this Order.

21 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown  
22 to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily  
23 encumber or retard the case development process or to impose unnecessary expenses and burdens  
24 on other parties) expose the Designating Party to sanctions.

25 If it comes to a Designating Party's attention that information or items that it designated for  
26 protection do not qualify for protection at all or do not qualify for the level of protection initially  
27 asserted, that Designating Party must promptly notify all other parties that it is withdrawing the  
28 mistaken designation.

1           5.2    Manner and Timing of Designations. Except as otherwise provided in this Order  
2 (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered,  
3 Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so  
4 designated before the material is disclosed or produced.

5           Designation in conformity with this Order requires:

6                   (a) for information in documentary form (e.g., paper or electronic documents, but  
7 excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party  
8 affix the legend “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES  
9 ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE CODE” to each page or computer-readable  
10 medium that contains protected material. If only a portion or portions of the material qualifies for  
11 protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making  
12 appropriate markings in the margins) and must specify, for each portion, the level of protection  
13 being asserted.

14           A Party or Non-Party that makes original documents or materials available for inspection  
15 need not designate them for protection until after the inspecting Party has indicated which material  
16 it would like copied and produced. During the inspection and before the designation, all of the  
17 material made available for inspection shall be deemed “HIGHLY CONFIDENTIAL –  
18 ATTORNEYS’ EYES ONLY.” After the inspecting Party has identified the documents it wants  
19 copied and produced, the Producing Party must determine which documents, or portions thereof,  
20 qualify for protection under this Order. Then, before producing the specified documents, the  
21 Producing Party must affix the appropriate legend (“CONFIDENTIAL” or “HIGHLY  
22 CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE  
23 CODE) to each page that contains Protected Material. If only a portion or portions of the material  
24 qualifies for protection, the Producing Party also must clearly identify the protected portion(s)  
25 (e.g., by making appropriate markings in the margins) and must specify, for each portion, the level  
26 of protection being asserted.

27                   (b) for testimony given in deposition or in other pretrial or trial proceedings, that the  
28 Designating Party identify on the record, before the close of the deposition, hearing, or other

1 proceeding, all protected testimony and specify the level of protection being asserted. When it is  
 2 impractical to identify separately each portion of testimony that is entitled to protection and it  
 3 appears that substantial portions of the testimony may qualify for protection, the Designating Party  
 4 may invoke on the record (before the deposition, hearing, or other proceeding is concluded) a right  
 5 to have up to 21 days to identify the specific portions of the testimony as to which protection is  
 6 sought and to specify the level of protection being asserted. Only those portions of the testimony  
 7 that are appropriately designated for protection within the 21 days shall be covered by the  
 8 provisions of this Stipulated Protective Order. Alternatively, a Designating Party may specify, at  
 9 the deposition or up to 21 days afterwards if that period is properly invoked, that the entire  
 10 transcript shall be treated as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL –  
 11 ATTORNEYS’ EYES ONLY.”

12 Parties intending to use or otherwise disclose Protected Material shall give the other parties  
 13 notice if they reasonably expect a deposition, hearing or other proceeding to include Protected  
 14 Material so that the other parties can ensure that only authorized individuals who have signed the  
 15 “Acknowledgment and Agreement to Be Bound” (Exhibit A) are present at those proceedings. The  
 16 use of a document as an exhibit at a deposition shall not in any way affect its designation as  
 17 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY.”

18 Transcripts containing Protected Material shall have an obvious legend on the title page that  
 19 the transcript contains Protected Material, and the title page shall be followed by a list of all pages  
 20 (including line numbers as appropriate) that have been designated as Protected Material and the  
 21 level of protection being asserted by the Designating Party. The Designating Party shall inform the  
 22 court reporter of these requirements. Any transcript that is prepared before the expiration of a 21-  
 23 day period for designation shall be treated during that period as if it had been designated “HIGHLY  
 24 CONFIDENTIAL – ATTORNEYS’ EYES ONLY” in its entirety unless otherwise agreed. After  
 25 the expiration of that period, the transcript shall be treated only as actually designated. Any rough  
 26 transcript shall be treated pursuant to the designations for the final transcript.

27 (c) for information produced in some form other than documentary and for any  
 28 other tangible items, that the Producing Party affix in a prominent place on the exterior of the

1 container or containers in which the information or item is stored the legend “CONFIDENTIAL”  
2 or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL  
3 – SOURCE CODE.” If only a portion or portions of the information or item warrant protection, the  
4 Producing Party, to the extent practicable, shall identify the protected portion(s) and specify the  
5 level of protection being asserted.

6 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to  
7 designate qualified information or items does not, standing alone, waive the Designating Party’s  
8 right to secure protection under this Order for such material. Upon timely correction of a  
9 designation, the Receiving Party must make reasonable efforts to assure that the material is treated  
10 in accordance with the provisions of this Order.

## 11 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

12 6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of  
13 confidentiality at any time. Unless a prompt challenge to a Designating Party’s confidentiality  
14 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic  
15 burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to  
16 challenge a confidentiality designation by electing not to mount a challenge promptly after the  
17 original designation is disclosed.

18 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process  
19 by providing written notice of each designation it is challenging and describing the basis for each  
20 challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must  
21 recite that the challenge to confidentiality is being made in accordance with this specific paragraph  
22 of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must  
23 begin the process by conferring directly (in voice to voice dialogue; other forms of communication  
24 are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging  
25 Party must explain the basis for its belief that the confidentiality designation was not proper and  
26 must give the Designating Party an opportunity to review the designated material, to reconsider the  
27 circumstances, and, if no change in designation is offered, to explain the basis for the chosen  
28 designation. A Challenging Party may proceed to the next stage of the challenge process only if it

1 has engaged in this meet and confer process first or establishes that the Designating Party is  
2 unwilling to participate in the meet and confer process in a timely manner.

3       6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court  
4 intervention, the Party that elects to press a challenge to the confidentiality designation after  
5 considering the justification offered by the Designating Party may file and serve a motion under  
6 Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5 and General Order 62, if  
7 applicable) within 28 days of the initial notice of challenge or within 14 days of the parties  
8 agreeing that the meet and confer process will not resolve their dispute, whichever is earlier. The  
9 motion shall identify the challenged material and set forth in detail the basis for the challenge.  
10 Each such motion must be accompanied by a competent declaration affirming that the movant has  
11 complied with the meet and confer requirements imposed in the preceding paragraph. Failure by  
12 the Challenging Party to make such a motion including the required declaration within 28 days (or  
13 14 days, if applicable) shall automatically waive the right of the Challenging Party to contest the  
14 confidentiality designation. In addition, the Challenging Party may file a motion challenging a  
15 confidentiality designation at any time if there is good cause for doing so, including a challenge to  
16 the designation of a deposition transcript or any portions thereof. Any motion brought pursuant to  
17 this provision must be accompanied by a competent declaration affirming that the movant has  
18 complied with the meet and confer requirements imposed by the preceding paragraph.

19       The burden of persuasion in any such challenge proceeding shall be on the Designating  
20 Party. Frivolous challenges and those made for an improper purpose (e.g., to harass or impose  
21 unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions.  
22 All parties shall continue to afford the material in question the level of protection to which it is  
23 entitled under the Producing Party's designation until the court rules on the challenge.

## 24 7. ACCESS TO AND USE OF PROTECTED MATERIAL

25       7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed or  
26 produced by another Party or by a Non-Party in connection with this case only for prosecuting,  
27 defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to  
28 the categories of persons and under the conditions described in this Order. When the litigation has



1 been terminated, a Receiving Party must comply with the provisions of section 15 below (FINAL  
2 DISPOSITION).

3 Protected Material must be stored and maintained by a Receiving Party at a location and in  
4 a secure manner that ensures that access is limited to the persons authorized under this Order.

5 7.2 Disclosure of “CONFIDENTIAL” Information or Items. Unless otherwise ordered  
6 by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any  
7 information or item designated “CONFIDENTIAL” only to:

8 (a) the Receiving Party’s Outside Counsel of Record in this action, as well as  
9 employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the  
10 information for this litigation;

11 (b) the officers, directors, and employees (including House Counsel) of the  
12 Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed  
13 the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

14 (c) experts (as defined in this Order) of the Receiving Party to whom disclosure is  
15 reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement  
16 to Be Bound” (Exhibit A) and to whom the procedures set forth in paragraph 7.5(a), below, have  
17 been followed, and their regularly employed support personnel (such as administrative assistants,  
18 secretaries, clerical and administrative staff) as necessarily incident to the Litigation;

19 (d) the Court and its personnel;

20 (e) court reporters and their staff, professional jury or trial consultants, mock jurors  
21 and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who  
22 have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

23 (f) during their depositions, witnesses in the action to whom disclosure is reasonably  
24 necessary and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A),  
25 unless otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed  
26 deposition testimony or exhibits to depositions that reveal Protected Material must be separately  
27 bound by the court reporter and may not be disclosed to anyone except as permitted under this  
28 Stipulated Protective Order;

1 (g) during depositions, current employees of the Producing Party that produced the  
2 documents or information;

3 (h) the author or recipient of a document containing the information or a custodian  
4 or other person who otherwise possessed or knew the information.

5 7.3 Disclosure of "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY"  
6 Information or Items. Unless otherwise ordered by the court or permitted in writing by the  
7 Designating Party, a Receiving Party may disclose any information or item designated "HIGHLY  
8 CONFIDENTIAL – ATTORNEYS' EYES ONLY" only to:

9 (a) the Receiving Party's Outside Counsel of Record in this action, as well as  
10 employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the  
11 information for this litigation;

12 (b) to the extent disclosure is limited to annual revenue by product and annual unit  
13 sales by product, Helen Vasudevan, but (1) only upon designation of the information as subject to  
14 this Section in writing by the Producing Party, and (2) only after Helen Vasudevan has signed the  
15 "Acknowledgment and Agreement to Be Bound" (Exhibit A);

16 (c) to the extent disclosure is limited to the value and scope of any patent licenses,  
17 James Knox, but (1) only upon designation of information as subject to this Section in writing by  
18 the Producing Party, and (2) only after James Knox has signed the "Acknowledgment and  
19 Agreement to Be Bound" (Exhibit A);

20 (d) experts of the Receiving Party (1) to whom disclosure is reasonably necessary  
21 for this litigation, (2) who have signed the "Acknowledgment and Agreement to Be Bound"  
22 (Exhibit A), and (3) as to whom the procedures set forth in paragraph 7.5(a), below, have been  
23 followed, and their regularly employed support personnel (such as administrative assistants,  
24 secretaries, clerical and administrative staff) as necessarily incident to the Litigation;

25 (e) the Court and its personnel;

26 (f) court reporters and their staff, professional jury or trial consultants, mock jurors  
27 and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who  
28 have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A); and

1 (g) during their depositions, witnesses in the action to whom disclosure is  
2 reasonably necessary and who have signed the "Acknowledgment and Agreement to Be Bound"  
3 (Exhibit A), unless otherwise agreed by the Designating Party or ordered by the court. Pages of  
4 transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be  
5 separately bound by the court reporter and may not be disclosed to anyone except as permitted  
6 under this Stipulated Protective Order;

7 (h) during depositions, current employees of the Producing Party that produced the  
8 documents or information.

9 (i) the author or recipient of a document containing the information or a custodian or  
10 other person who otherwise possessed or knew the information.

11 7.4 Disclosure of "HIGHLY CONFIDENTIAL – SOURCE CODE" Information or  
12 Items. Unless otherwise ordered by the court or permitted in writing by the Designating Party, a  
13 Receiving Party may disclose any information or item designated "HIGHLY CONFIDENTIAL –  
14 SOURCE CODE" only to:

15 (a) the Receiving Party's Outside Counsel of Record in this action, as well as  
16 employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the  
17 information for this litigation;

18 (b) experts of the Receiving Party (1) to whom disclosure is reasonably necessary  
19 for this litigation, (2) who have signed the "Acknowledgment and Agreement to Be Bound"  
20 (Exhibit A), and (3) as to whom the procedures set forth in paragraph 7.5(a), below, have been  
21 followed, and their regularly employed support personnel (such as administrative assistants,  
22 secretaries, clerical and administrative staff) as necessarily incident to the Litigation;

23 (c) the Court and its personnel;

24 (d) court reporters and their staff, professional jury or trial consultants, mock jurors  
25 and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who  
26 have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

27 (e) current employees of the Producing Party that have access to the source code in  
28 their normal course of business; and

(f) the author or recipient of the source code or a custodian or other person who otherwise possessed or knew the information.

7.5 Procedures for Approving or Objecting to Disclosure of “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items to Experts.

(a) Unless otherwise ordered by the court or agreed to in writing by the Designating Party, a Party that seeks to disclose to an Expert (as defined in this Order) any information or item produced by another Party or Non-Party that has been designated “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” pursuant to paragraphs 7.2(c), 7.3(d) and 7.4(b) first must make a written request to the Designating Party that (1) sets forth the full name of the Expert and the city and state of his or her primary residence, (2) attaches a copy of the Expert’s current resume, (3) identifies the Expert’s current employer(s), (4) identifies each person or entity from whom the Expert has received compensation or funding for work in his or her areas of expertise or to whom the expert has provided professional services, including in connection with a litigation, at any time during the preceding five years,<sup>1</sup> and (5) identifies (by name and number of the case, filing date, and location of court) any litigation in connection with which the Expert has offered expert testimony, including through a declaration, report, or testimony at a deposition or trial, during the preceding five years.

(b) A Party that makes a request and provides the information specified in the preceding respective paragraphs may disclose the subject Protected Material to the identified Expert unless, within seven court days of delivering the request, the Party receives a written objection from the Designating Party. Any such objection must set forth in detail the grounds on which it is based.

(c) A Party that receives a timely written objection must meet and confer with the Designating Party (through direct voice to voice dialogue) to try to resolve the matter by agreement

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<sup>1</sup> If the Expert believes any of this information is subject to a confidentiality obligation to a third-party, then the Expert should provide whatever information the Expert believes can be disclosed without violating any confidentiality agreements, and the Party seeking to disclose to the Expert shall be available to meet and confer with the Designating Party regarding any such engagement.

1 within seven court days of the written objection. If no agreement is reached, the Party seeking to  
 2 make the disclosure to the Expert may file a motion as provided in Civil Local Rule 7 (and in  
 3 compliance with Civil Local Rule 79-5 and General Order 62, if applicable) seeking permission  
 4 from the court to do so. Any such motion must describe the circumstances with specificity, set  
 5 forth in detail the reasons why the disclosure to the Expert is reasonably necessary, assess the risk  
 6 of harm that the disclosure would entail, and suggest any additional means that could be used to  
 7 reduce that risk. In addition, any such motion must be accompanied by a competent declaration  
 8 describing the parties' efforts to resolve the matter by agreement (i.e., the extent and the content of  
 9 the meet and confer discussions) and setting forth the reasons advanced by the Designating Party  
 10 for its refusal to approve the disclosure.

11 In any such proceeding, the Party opposing disclosure to the Expert shall bear the burden of  
 12 proving that the risk of harm that the disclosure would entail (under the safeguards proposed)  
 13 outweighs the Receiving Party's need to disclose the Protected Material to its Expert.

#### 14 8. PROSECUTION AND COMPETITIVE DECISION-MAKING BAR

15 (a) Absent written consent from the Producing Party, any individual who receives  
 16 access to "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY  
 17 CONFIDENTIAL – SOURCE CODE" information shall not be involved in the prosecution of  
 18 patents or patent applications relating to business intelligence software, including without  
 19 limitation the patents asserted in this action and any patent or application claiming priority to or  
 20 otherwise related to the patents asserted in this action, before any foreign or domestic agency,  
 21 including the United States Patent and Trademark Office ("the Patent Office"). For purposes of this  
 22 paragraph, "prosecution" includes directly or indirectly drafting, amending, advising, or otherwise  
 23 affecting the scope or maintenance of patent claims. To avoid any doubt, "prosecution" as used in  
 24 this paragraph does not include representing a party challenging or defending a patent before a  
 25 domestic or foreign agency (including, but not limited to, a reissue protest, *ex parte* reexamination  
 26 or *inter partes* reexamination). This Prosecution Bar shall begin when access to "HIGHLY  
 27 CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL – SOURCE  
 28 CODE" information is first received by the affected individual and shall end two (2) years after

1 final termination of this action.

2 (b) Notwithstanding any other provisions of this Order, absent the written consent  
3 of the Producing Party, any individual, including all Experts and Helen Vasudevan (except insofar  
4 as she is permitted access to certain information specifically provided for in § 7.3(b) of this Order),  
5 that has access pursuant to this Order to information designated as “HIGHLY CONFIDENTIAL -  
6 ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL - SOURCE CODE” shall not be  
7 involved in competitive decision-making, as defined by *U.S. Steel Corp. v. United States*, 730 F.2d  
8 1465, 1468 n.3 (Fed. Cir. 1984). The terms of this Section shall not, however, be interpreted to  
9 mean that a Producing Party may prevent an individual from competitive decision-making after or  
10 as a result of directing that individual to view information designated as “HIGHLY  
11 CONFIDENTIAL - ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL - SOURCE  
12 CODE” at a deposition, trial or otherwise. Further, this competitive decision-making bar shall not  
13 be interpreted to bar any counsel who has viewed “HIGHLY CONFIDENTIAL - ATTORNEYS’  
14 EYES ONLY” or “HIGHLY CONFIDENTIAL - SOURCE CODE” from representing or  
15 consulting with a party challenging or defending a patent before a domestic or foreign agency  
16 (including, but not limited to, a reissue protest, ex parte reexamination or inter partes  
17 reexamination).

#### 18 9. SPECIAL PROTECTIONS FOR SOURCE CODE

19 (a) To the extent production of source code becomes necessary in this case, a  
20 Producing Party may designate source code as “HIGHLY CONFIDENTIAL – SOURCE CODE” if  
21 it comprises or includes confidential, proprietary or trade secret source code.

22 (b) Protected Material designated as “HIGHLY CONFIDENTIAL – SOURCE  
23 CODE” shall be subject to all of the protections afforded to “HIGHLY CONFIDENTIAL –  
24 ATTORNEYS’ EYES ONLY” information, including the Prosecution Bar set forth in Paragraph 8,  
25 and may be disclosed only to the individuals to whom “HIGHLY CONFIDENTIAL – SOURCE  
26 CODE” information may be disclosed, as set forth in Paragraph 7.4.

27 (c) Any source code produced in discovery shall be made available for  
28 inspection, in a format allowing it to be reasonably reviewed and searched (which will be the same

1 format as the Producing Party provides any source code to its own experts), during normal business  
2 hours or at other mutually agreeable times, at a location that is reasonably convenient for the  
3 Receiving Party and any experts to whom the source code may be disclosed, or another mutually  
4 agreed upon location. The source code shall be made available for inspection on one secured  
5 computer in a secured room without Internet access or network access to other computers, and the  
6 Receiving Party shall not copy, remove, or otherwise transfer any portion of the source code onto  
7 any recordable media or recordable device. The receiving party must provide 14 days' notice  
8 before the initial inspection of the source code for any product. For any source code that has  
9 previously been inspected, the receiving party must provide 7 days' notice if it would like to  
10 review the source code in a different mutually agreeable location than where the initial source code  
11 inspection occurred. For subsequent inspections of previously inspected source code at the same  
12 location where the source code was previously inspected, the receiving party need only provide 3  
13 business days' notice. Any source code inspections that occur in connection with this litigation  
14 prior to the entry of this protective order shall constitute an initial inspection for the purposes of  
15 this provision. For avoidance of any doubt, the Receiving Party and its representatives may have  
16 access to their own computer while reviewing source code, and the computer may be connected to  
17 the Internet.

18 (d) The Receiving Party may only request paper copies of limited portions of  
19 source code that are reasonably necessary to facilitate the Receiving Party's preparation in this  
20 Litigation, including to prepare court filings, pleadings, expert reports, or other papers, or for  
21 deposition or trial; to prepare internal attorney work product materials; or to prepare other  
22 necessary case materials such as consulting expert written analyses and related drafts and  
23 correspondence. The Producing Party shall provide all such source code in paper form including  
24 bates numbers and the label "HIGHLY CONFIDENTIAL - SOURCE CODE." The Producing  
25 Party may challenge the amount of source code requested in hard copy form pursuant to the dispute  
26 resolution procedure and timeframes set forth in Paragraph 6 whereby the Producing Party is the  
27 "Challenging Party" and the Receiving Party is the "Designating Party" for purposes of dispute  
28 resolution.



(e) The Receiving Party shall maintain a record of any individual, other than Outside Counsel of Record, who has inspected any portion of the source code in electronic or paper form. The Receiving Party shall maintain all paper copies of any printed portions of the source code in a secured, locked area. The Receiving Party shall not create any electronic or other images of the paper copies and shall not convert any of the information contained in the paper copies into any electronic format, except for use in an expert report. The Receiving Party shall only make additional paper copies if such additional copies are (1) necessary to prepare court filings, pleadings, or other papers (including a testifying expert's expert report), (2) necessary for deposition, or (3) otherwise necessary for the preparation of its case. Any paper copies used during a deposition shall be retrieved by the Producing Party at the end of each day and must not be given to or left with a court reporter or any other individual.

#### 10. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as "CONFIDENTIAL," or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL – SOURCE CODE" that Party must:

(a) promptly notify in writing the Designating Party. Such notification shall include a copy of the subpoena or court order;

(b) promptly notify in writing the party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is subject to this Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and

(c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating Party whose Protected Material may be affected.

If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as "CONFIDENTIAL," or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL – SOURCE CODE" before a determination by the court from which



the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking protection in that court of its confidential material – and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another court.

11. A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS LITIGATION

(a) The terms of this Order are applicable to information produced by a Non-Party in this action and designated as "CONFIDENTIAL," or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL – SOURCE CODE". Such information produced by Non-Parties in connection with this litigation is protected by the remedies and relief provided by this Order.

(b) In the event that a Party is required, by a valid discovery request, to produce a Non-Party's confidential information in its possession, and the Party is subject to an agreement with the Non-Party not to produce the Non-Party's confidential information, then the Party shall, after entry of this Order:

1. promptly notify in writing the Requesting Party and the Non-Party that some or all of the information requested is subject to a confidentiality agreement with a Non-Party;

2. promptly provide the Non-Party with a copy of the Stipulated Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific description of the information requested; and

3. make the information requested available for inspection by the Non-Party.

(c) If the Non-Party fails to object or seek a protective order from this court within 14 days of receiving the notice and accompanying information, the Receiving Party may produce the Non-Party's confidential information responsive to the discovery request. If the Non-Party timely seeks a protective order, the Receiving Party shall not produce any information in its possession or control that is subject to the confidentiality agreement with the Non-Party before a determination by the court. Absent a court order to the contrary, the Non-Party shall bear the burden and expense of seeking protection in this court of its Protected Material.

12. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and (d) request such person or persons to execute the “Acknowledgment and Agreement to Be Bound” that is attached hereto as Exhibit A.

13. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL

If information is produced in discovery that is subject to a claim of privilege or of protection as trial-preparation material, the party making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return or destroy the specified information and any copies it has and may not sequester, use or disclose the information until the claim is resolved.

14. MISCELLANEOUS

14.1 Right to Further Relief. Nothing in this Order abridges the right of any person to seek its modification by the court in the future.

14.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the material covered by this Protective Order.

14.3 Filing Protected Material. Without written permission from the Designating Party or a court order secured after appropriate notice to all interested persons, a Party may not file in the public record in this action any Protected Material. A Party that seeks to file under seal any Protected Material must comply with Civil Local Rule 79-5 and General Order 62. Protected Material may only be filed under seal pursuant to a court order authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local Rule 79-5 and General Order 62, a

1 sealing order will issue only upon a request establishing that the Protected Material at issue is  
2 privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a  
3 Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-5(d)  
4 and General Order 62 is denied by the court, then the Receiving Party may file the Protected  
5 Material in the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by  
6 the court.

7 14.4 Expert Discovery. The parties agree that expert discovery will be governed by the  
8 default limitations contained in the Federal Rules of Civil Procedure and that communications with  
9 experts will not be discoverable unless expressly relied on by a testifying expert.

10 15. FINAL DISPOSITION

11 Within 60 days after the final disposition of this action, as defined in paragraph 4,  
12 each Receiving Party must return all Protected Material to the Producing Party or destroy such  
13 material. As used in this subdivision, "all Protected Material" includes all copies, abstracts,  
14 compilations, summaries, and any other format reproducing or capturing any of the Protected  
15 Material. Whether the Protected Material is returned or destroyed, the Receiving Party must submit  
16 a written certification to the Producing Party (and, if not the same person or entity, to the  
17 Designating Party) by the 60-day deadline that all the Protected Material was returned or  
18 destroyed and affirms that the Receiving Party has not retained any copies, abstracts, compilations,  
19 summaries or any other format reproducing or capturing any of the Protected Material.  
20 Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings,  
21 motion papers, trial, deposition, and hearing transcripts, legal memoranda, correspondence,  
22 deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work  
23 product, even if such materials contain Protected Material. Any such archival copies that contain or  
24 constitute Protected Material remain subject to this Protective Order as set forth in Section 4  
25 (DURATION).

1 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

2  
3 Dated: April 4, 2012

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25 Dated: April 4, 2012

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1 PURSUANT TO STIPULATION, IT IS SO ORDERED.

2 DATED: 4/4/12



[Hon. Richard Seeborg]  
United States District Court Judge

**EXHIBIT A****ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND**

I, \_\_\_\_\_ [print or type full name], of  
 \_\_\_\_\_ [print or type full address], declare under penalty of perjury that I have read  
 in its entirety and understand the Stipulated Protective Order that was issued by the United States  
 District Court for the Northern District of California in the case of *Vasudevan Software, Inc. v.*  
*TIBCO Software, Inc.*, Case No. 3:11-06638-RS. I agree to comply with and to be bound by all the  
 terms of this Stipulated Protective Order and I understand and acknowledge that failure to so  
 comply could expose me to sanctions and punishment in the nature of contempt. I solemnly  
 promise that I will not disclose in any manner any information or item that is subject to this  
 Stipulated Protective Order to any person or entity except in strict compliance with the provisions  
 of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the  
 Northern District of California for the purpose of enforcing the terms of this Stipulated Protective  
 Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint \_\_\_\_\_ [print or type full name] of  
 \_\_\_\_\_ [print or type full address and telephone number]  
 as my California agent for service of process in connection with this action or any proceedings  
 related to enforcement of this Stipulated Protective Order.

Date: \_\_\_\_\_

City and State where sworn and signed: \_\_\_\_\_

Printed name: \_\_\_\_\_

[printed name]

Signature: \_\_\_\_\_

[signature]